

Substitute for form 1449/PTO

10/06/2008

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	To be assigned 0889
Filing Date	Filed herewith
First Named Inventor	LENDLEIN, Andreas
Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket Number	13632PCTUS

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/T.S.W./	US-	6,156,842A	12/05/00	Hoeng, S. et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code* Number* Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
/T.S.W./		EP 0 422 693 A	04/17/91	Thoratec Lab Corp		
/T.S.W./		WO 99 42528 A	12/05/00	Mnemoscience GMBH		
/T.S.W./		WO 99 42147 A	08/26/99	MIT		
/T.S.W./		WO 03/084490 A1	10/16/03	Mnemoscience GMBH		
/T.S.W./		WO 03/084491 A1	10/16/03	Mnemoscience GMBH		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Sheet	2	of	2
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Application Number	To be assigned 13632PCTUS
Filing Date	Filed herewith
First Named Inventor	LENDEIN, Andreas
Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket Number	13632PCTUS

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/T.S.W./		LENDEIN, A. et al. "A-B Polymer Networks Based on Oligo(epsilon-caprolactone) Segments Showing Shape-memory Properties," Proc. Natl. Acad. Sci. USA, vol. 98, No. 3, January 2001 (2001-01), Pages 842-847.	
/T.S.W./		LENDEIN, A. et al. "Formgedachtnispolymere," Angewandte Chemi, vol. 114, No. 12, 17 June 2002, pages 2138-2162.	
/T.S.W./		Database WPI, Section Ch. Week 199214, Derwent Publications Ltd., London, GB; Class A12, AN 1992-109202 XP002251534 & JP 04 050234 A (Ashahi Chem Ind Co Ltd), 19 February 1992 (1992-02-19)	
/T.S.W./		Patent Abstracts of Japan vol. 016, No. 213 (C-0942), 20 May 1992 (1992-05-20) & JP 04 041416 A (Noevir Co Ltd; others: 01), 12 February 1992 (1991-02-12)	
/T.S.W./		International Search Report for PCT/EP03/03735	

Examiner Signature	/Tracey Simmons Willis/	Date Considered	10/06/2008
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